



Date (MM/DD/YY) _____ INITIAL UPDATING

General Information

First Name	Middle Name	Last Name
Gender (M/F)	Date of Birth (MM/DD/YY)	Place of Birth
Nationality	Citizenship (if dual, indicate both citizenship)	
Mother's Maiden Name	Marital Status	
Highest Educational Attainment	Tax Identification No. (TIN)	
Registered Address Number Street	District/Town/Brgy. City/Province	Zip Code Phone No(s) Fax No.
Permanent Address (Please fill up if different from Present Address) Number Street	District/Town/Brgy. City/Province	Zip Code Phone No(s) Fax No.
Business Address Number Street	District/Town/Brgy. City/Province	Zip Code Phone No(s) Fax No.
US Address Number Street	City/State	Zip Code Phone No(s) Fax No.
Mailing Address	US TIN (for US Citizens)	

PRESENT ADDRESS BUSINESS ADDRESS PERMANENT ADDRESS US ADDRESS

Did you stay in the US for a total of 183 days within 3 years or for 31 days or more in the current calendar year? YES If yes, period/date of stay _____ NO

Financial Information

Employment Status _____ Employer/Business _____

Position/Designation _____ Nature of Employer's/Your Business _____

Source of Funds

Gross Annual Income

Up to 1,000,000 5,000,001 - 10,000,000 Above 20,000,000

1,000,001 - 5,000,000 10,000,001 - 20,000,000

Other Banks and Credit Cards

Bank Name	Products Availed	Amount
		Home Ownership
		No. Of Credit Cards
		No. of Cars Owned

Client Acknowledgement

I/We hereby affirm that all information herein stated and those appearing in accompanying attachments (if any) are true and correct and hereby authorizes RCBC Leasing and Finance Corporation to verify such information as it may require. I/We hereto agree to notify you in writing of any change in the information supplied in this form.

Print Name, Sign, and Date _____

FOR RCBC LEASING'S USE ONLY

Business Unit	Client ID	Group ID
Industry BSP Eco Act	PSIC Code	

Identification / Documents Presented

Document	Reference/ID Number	Date of Issue	Expiry Date

Relationship Details

RCBC Leasing Relationship: NON-DOSRI DOSRI If DOSRI, what position: _____

How Obtained: WALK-IN REFERRED BY: _____ SOLICITED BY: _____

Relationship Start Date: _____ Relationship Officer: _____

CERTIFICATION, CONSENT AND WAIVER

This is to certify that I, _____ am:

- a U.S. Person and will submit a copy of W-9 (Request for Taxpayer Identification Number and Certification) within the 90 days from the date of account opening.
- not a U.S. Person and my personal information does not contain any U.S. indicia; I am a _____ (Indicate Nationality) citizen.
- not a U.S. Person, notwithstanding the presence of any U.S. indicia in my personal information, and in support of this, I will submit copies of the following documents within the 90 days from the date of account opening to prove such status.

- DS-4083, issued by the US Bureau of Consular Affairs to declare that US citizenship has been rescinded, if applicable, and
- W-8BEN (Certificate of Beneficial Status of Beneficial Owner for United States Tax Withholding), and
- Non-U.S. valid passport, or any similar documentation establishing non-U.S. citizenship

Failure to submit the required documents within the 90 days from the date of account opening entitles the Company (as defined below) to treat me as a U.S. Person or non-compliant.

That, I maintain financial account(s) (the "Account", irrespective of number) with RCB, Leasing and Finance Corporation, a financial institution duly registered under the laws of the Republic of the Philippines, with head office address at 2nd Floor Greepalife Bldg., 221 Sen. Gil Puyat Ave., Makati City Philippines (hereinafter referred to as the "Company");

FOR U.S. PERSONS ONLY: That, as a U.S. Person, I am subject to the requirements of the United States Foreign Account Tax Compliance Act ("FATCA") and the regulations of the United States Internal Revenue Services ("US IRS"), including all subsequent amendments or supplements thereto (collectively "FATCA US IRS Regulations"), which require the Company to report directly to the US IRS information about financial accounts held by US taxpayers or held by foreign entities in which US taxpayers hold a substantial ownership interest.

This Certification, Consent and Waiver signify:

- a) My consent and waiver of my right of confidentiality under bank secrecy laws, including but not limited to, Republic Act Number 1405 or the Law on Secrecy of Bank Deposits, Republic Act Number 6426 or the Foreign Currency Deposit Act and Republic Act Number 8791 or the General Banking Law of 2000, as may be amended in each case;
- b) My agreement and consent to the processing and updating of all information relative to my Account under Republic Act Number 10173 or the Data Privacy Act of 2012;
- c) My consent and waiver of such rights and privileges under any other law of the Philippines which will require the issuance of a consent and/or waiver for the disclosure and/or processing of any and all information relating to my Account with the Company for purposes of compliance with FATCA; and
- d) My acknowledgement and consent for the Company to report and disclose to the US IRS the following information (and/or such other information as may be required), relative to the Account pursuant to the FATCA US IRS Regulations (the "Required Information"):
- i. The name, address and U.S. tax identification number (TIN)
 - ii. In the case of any account holder that is a U.S. entity with one or more U.S. owners, the name, address and TIN of each substantial U.S. owner of such entity;
 - iii. The account number/s;
 - iv. The year-end account balances or values; and
 - v. Gross receipts and gross withdrawals or payments from the account/s.
- If the Company is not already in possession of the Required Information, I undertake to provide the Company such information within the period as may be requested by the Company.

This Certification, Consent and Waiver is given by me only for purposes of compliance by the Company with the FATCA.

Where I have answered that I am not a U.S. Person and have committed to submit the required documents in support thereof, I hereby affirm and confirm the truth of such declaration and the authenticity of the supporting documents to be submitted. I undertake to inform the Company in writing of any change in circumstance that will affect the accuracy of such declaration or supporting documents within thirty (30) calendar days from the occurrence of such change. Further, I hereby acknowledge that the Company shall operate the Account on the basis of such declaration. In the event that the Company discovers that I am a U.S. Person, then the Company, its subsidiaries and affiliates, are hereby absolutely and unconditionally authorized to report and disclose to the U.S. IRS the Required Information. I further undertake to provide the Company with such Required Information as may be requested by the Company.

By signing this Certification, Consent and Waiver, I hereby undertake to indemnify and hold the Company, its directors, stockholders, officers, employees, representatives, agents or relevant units of the Company, free and harmless from and against all liabilities, claims, demands, actions, proceedings, losses, expenses and all other liabilities of whatsoever nature or description which may be suffered or incurred by the Company, its directors, stockholders, officers, employees, representatives, agents or relevant units of the Company, arising from or in connection with the implementation of this Certification, Consent and Waiver.

By signing hereunder, I hereby confirm that I have read and understood this Certification, Consent and Waiver, or have had the same read and explained to me in a language known to me and I have understood its import.

(Printed Name and Signature)
Date: _____

¹ "United States Person" as defined in the FATCA means:

- a) U.S. citizen (including dual citizen)
b) U.S. resident alien for tax purposes

² U.S. indicia as defined in the FATCA refer to any of the following:
a) Designation of the account holder as a U.S. citizen or resident;

- b) U.S. place of birth;

- c) A current U.S. residence address or U.S. mailing address (including a U.S. post office box);

- d) A current U.S. telephone number (regardless of whether such number is the only telephone number associated with the account holder);

- e) Standing instructions to pay amounts from the account to an account maintained in the United States;

- f) A current power of attorney or signatory authority granted to a person with a U.S. address; or

³ An "in-care-of" address or a "hold mail" address that is the sole address the FFI has identified for the account holder.

⁴ The term means (as defined under the FATCA), with respect to the Foreign Financial Institution (FFI), (1) any depository account maintained by the FFI (2) any custodial account maintained by the FFI; and (3) a non-publicly traded debt or equity interest in the FFI.