<Printed on Company letterhead>

<mark><Date></mark>

RCBC FOREX BROKERS CORPORATION
8th Floor, RCBC Plaza
6819 Ayala Avenue,
Makati City

Dear Sir/Madame:

This is to confirm that <mark>**<Business Name>**</mark> fully complies with Republic Act No. 9160, as amended, Republic Act No. 10168 and pertinent implementing rules and regulations ("AML/CTF laws").

Our implementation of AML/CTF laws is documented in our <mark>**<Name of AML/CTF policy/program>**</mark> which was duly approved by the Board of Directors/proprietor. The <mark>**<Name of AML/CTF policy/program>**</mark> includes provisions on:

1. A risk-based and tiered customer acceptance policy and customer retention policy
2. A customer identification process that involves appropriate Due Diligence (DD) depending on the customer's risk profile
3. On-going monitoring of customers and transactions
4. Covered and suspicious transaction reporting
5. Reporting of Transactions of Terrorist Individuals and Organizations
6. Proper record-keeping and retention of documents
7. Internal controls
8. An AML/CTF training program for employees, senior management and Board of Directors
9. Designation of a dedicated Anti-Money Laundering Compliance Officer
10. Sanctions

<mark>**<Business Name>**</mark> has appropriate programs and procedures in place to ensure the effective implementation of <mark>**<Name of AML/CTF policy/program>**</mark>

Thank you.

Very truly yours,

Signature over printed name
<**Position**>
<Business Name>