



# FATCA Self-Certification Form

## Certification of Non-US Person Status for Entities

This form is only applicable to NON-US entities. For US entities, please complete the IRS Tax Form W-9.  
If this form is not applicable to your circumstances, you may submit an alternate tax form.

- > **ALL** Non-US Entities should complete Section 1 of this form.
- > Section 2. A Non-US Entity is either a Foreign Financial Institution (FFI) or a Non-Financial Foreign Entity (NFFE). See [Supporting Notes](#) for guidance.
  - ▾ For FFIs, please complete **Section 2.1** only.
  - ▾ For NFFEs, please complete **Section 2.2** only.
- > Section 3 is applicable to Passive NFFEs only.

**IMPORTANT NOTE:** We recommend that you check, in the first instance, which FATCA intergovernmental agreement (IGA) is applicable to you for the purpose of assessing your FATCA Status. RCBC does not provide tax advice and will not be liable for any errors contained in this form. For specific FATCA-related questions including implications to your tax filing with the U.S. IRS, please contact the U.S. IRS directly or consult with your tax advisor.

### SECTION 1: ENTITY IDENTIFICATION

<b>1 Name of Entity/Organization</b>	
<b>2 Country of Incorporation/Organization</b>	<b>3 Date of Incorporation/Organization</b>
<b>4 Permanent Residence Address</b> (Do not use a P.O. box or in-care-of address)	<b>5 Mailing Address</b> (If different from permanent residence address)
Number & Street / City / Town	Number & Street / City / Town
State / Province / Country	State / Province / Country
Postal code	Postal code
<b>6 Philippine Tax Identification Number (TIN)</b>	
<b>7 Tax Residency/ies</b> (If your entity or, branch thereof, has multiple countries of tax residency, please indicate all countries of residence.)	
<b>Tax Residence</b>	<b>Corresponding TIN</b>

### SECTION 2: FATCA Status

<b>2.1 Foreign Financial Institutions (FFIs)</b>	<b>2.2 Non-Financial Foreign Entities (NFFEs)</b>
<b>8 Please check the appropriate FATCA status below</b> (Please tick one box only.):	<b>9 Please check the appropriate FATCA status below</b> (Please tick one box only.):
<input type="checkbox"/> <b>Nonparticipating FFI</b> (Proceed to 'Certification' portion of this form) <input type="checkbox"/> <b>Participating FFI</b> (Please identify further. Tick one box only then proceed to 'Certification' portion of this form.): <ul style="list-style-type: none"> <li><input type="checkbox"/> <b>Reporting FFI</b> (includes Reporting Model 1 and Model 2 FFIs) <i>Please provide Global Intermediary Identification Number (GIIN):</i> GIIN: _____</li> <li><input type="checkbox"/> <b>Non-reporting FFI</b> (e.g., Registered Deemed-Compliant FFI, Certified Deemed-Compliant FFI, Trustee Documented Trust)</li> <li><input type="checkbox"/> <b>Exempt Beneficial Owner</b> (e.g., Governmental Entities, International Organizations, Qualified Retirement Funds)</li> <li><input type="checkbox"/> <b>Sponsored Entity</b> <i>Please provide the following:</i> Sponsor's Name: _____ Sponsor's GIIN: _____</li> </ul>	<input type="checkbox"/> <b>Active NFFE</b> (Proceed to 'Certification' portion of this form) <input type="checkbox"/> <b>Passive NFFE</b> (Please identify further. Tick one box only.): <ul style="list-style-type: none"> <li><input type="checkbox"/> With <b>NO</b> Controlling Persons that are US Persons (Proceed to 'Certification' portion of this form)</li> <li><input type="checkbox"/> With Controlling Persons that are US Persons (Please complete <b>Section 3</b> of this form.)</li> </ul> <input type="checkbox"/> <b>Direct / Sponsored Direct Reporting NFFE</b> (Fill out required information below then proceed to 'Certification' portion of this form)  <i>If Sponsored Direct Reporting NFFE, please provide the name and Global Intermediary Identification Number (GIIN) of the Sponsoring Entity:</i> Sponsor's Name: _____ Sponsor's GIIN: _____  <i>Did the sponsoring entity agree to act as the sponsor for the entity identified in Section 1?</i> <input type="checkbox"/> YES <input type="checkbox"/> NO

**SECTION 3: For Passive NFFEs — US Controlling Persons**

This Section is only applicable to Passive Non-Financial Foreign Entities **with Controlling Persons that are US Persons.**

Please enumerate all US Controlling Persons, their US Tax Identification Numbers, and addresses:

Complete Name of US Controlling Person	US Tax Identification Number	Address

**CERTIFICATION**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief, it is true, correct, and complete. I further certify under penalties of perjury that:

- 1. The entity identified in Section 1 of this form is using this form to certify its status for Chapter 4 purposes.
- 2. The entity identified in Section 1 of this form is not a US person.
- 3. I agree that I will submit a new form within thirty (30) days if any certification on this form becomes incorrect.
- 4. I have the capacity to sign for the entity identified in Section 1 of this form.

**Sign Here** 

\_\_\_\_\_  
Signature(s) of Authorized Signatory(ies)

\_\_\_\_\_  
Date(s) (MM-DD-YYYY)

\_\_\_\_\_  
Printed name of Authorized Signatory(ies)

\_\_\_\_\_  
Capacity/Role

\_\_\_\_\_  
Name of Entity/Organization